United Nations Development Programme  
Country: INDIA  
Revised 2014 Annual Work Plan

Project Title: Policy and Advocacy Project  
Implementing Partner: UNDP

UNDP Strategic Plan Outcome 7: Development debates and actions at all levels prioritise poverty, inequality and exclusion, consistent with our engagement principles

UNDP Strategic Plan Output 7.6: Innovative development solutions and partnerships

UNDAF / CPAP Outcome: Inclusive and equitable growth policies and poverty reduction strategies of the Government are strengthened to ensure that most vulnerable and marginalized people in rural and urban areas have greater access to productive assets, decent employment, skills development, social protection and sustainable livelihoods.

**PROJECT DESCRIPTION**

A. State the specific development challenge or gap that this AWP is addressing.
   This AWP addresses emerging policy issues and challenges in the areas of sustainable development, inclusive growth, social mobilization and administrative efficiency and effectiveness.

B. Select one or more of the below strategies for addressing the above mentioned challenge/gap and describe in the context of this AWP:
   - Changes in attitudes and access to decision making through awareness raising, brokering, convening
   - Changes in policies, plans, budgets and legislation through support to national assessment, planning, budgeting, policy making

C. List the possible improvements in the capacities of institutions, individuals and systems that will occur as a result of this AWP.
   As a result of this AWP, there will be an increased understanding of policy and implementation bottlenecks in programmes of GOI based on policy analysis, research, review and partner consultations. Accordingly, tools and strategies will be developed for national, state and district partners to ensure that institutions, individuals and systems adopt more effective policy and programme implementation processes to achieve inclusive development.

D. List the gender issues in this AWP and specific ways in which they will be addressed.
   The National Mission on Empowerment of Women and the High Level mission on women will both be supported with policy advice and technical support. In addition, the model on livelihoods in Gujarat(SEWA) will focus specifically on tribal issues.

E. List the South-South cooperation opportunities in this AWP and specific ways in which they will be addressed.
   Several activities contribute to south south cooperation through sharing knowledge, evidence and process learning in key areas such as formulation of SDGs, inclusion and democratic governance.

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**Project Expenditure**

<table>
<thead>
<tr>
<th>Total Budget</th>
<th>Exp 2013</th>
<th>Budget 2014</th>
</tr>
</thead>
<tbody>
<tr>
<td>7,120,000</td>
<td>2,134,699</td>
<td>3,327,971</td>
</tr>
</tbody>
</table>

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2014 AWP budget: USD 3,327,971
- Regular: USD 3,327,971
- Other: .................

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Agreed by UNDP:

Jaco Cilliers  
Country Director
### I. **Annual Work Plan - Year: 2014**

<table>
<thead>
<tr>
<th>Annual Outputs</th>
<th>Planned Activities</th>
<th>Month of Completion</th>
<th>Responsible Party</th>
<th>Fund Source</th>
<th>Planned Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 national and state level multistakeholder consultations provide policy advice on emerging issues on sustainable development, inclusive growth and poverty reduction</td>
<td>Policy consultations with stakeholders on issues of household poverty, skill development, inclusive employment and livelihoods</td>
<td>December</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>71300, 71600, 72100, 74500</td>
</tr>
<tr>
<td></td>
<td>Capacity development of state level policy makers and legislators on decentralised governance, improving administrative efficiency and human development</td>
<td>September</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>71600, 72100, 74500</td>
</tr>
<tr>
<td></td>
<td>Policy consultations on sustainable human development with special emphasis on climate change, access to energy and inclusive growth</td>
<td>November</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>72600</td>
</tr>
<tr>
<td>10 innovative demonstration pilots and approaches designed and implemented on emerging challenges of inclusion as articulated in the 12th plan</td>
<td>Innovations to develop new solutions to address gender based violence</td>
<td>November</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>71300, 71600, 72100, 74500</td>
</tr>
<tr>
<td></td>
<td>Innovations to develop mechanisms for greater social and economic inclusion of marginalised groups</td>
<td>December</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>72100, 74500</td>
</tr>
<tr>
<td>10 research studies undertaken to inform policy debates on inclusion, sustainable development and decentralised governance as articulated in the 12th plan</td>
<td>Technical support for developing the sustainable development goals for GoI based on evidence and analysis</td>
<td>December</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>71300, 1600, 72100</td>
</tr>
<tr>
<td></td>
<td>Studies on status of financial inclusion, urban poverty</td>
<td>November</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>71300, 1600, 72100, 74500</td>
</tr>
<tr>
<td></td>
<td>Policy analysis and research on sustainable development, gender and social inclusion with special emphasis on tribals, dalits and people with disabilities</td>
<td>September</td>
<td>Various - TBD</td>
<td>UNDP</td>
<td>71300, 71600, 72100, 72600</td>
</tr>
<tr>
<td><strong>Total in USD</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| Project staff salaries and project management expenses                           | Development Effectiveness                                                                                       | $16,270 |
|                                                                                    | AWP Grand Total in USD                                                                                         | $3,327,971 |
II. MULTI YEAR PROJECT STRATEGY DESCRIPTION

Situation Analysis: With the launch of the ambitious 12th Plan in 2012, the Government of India along with development partners and policy planners are engaged in high level and intense debates on complex policy solutions for inclusive growth, social inclusion of marginalized and persistently excluded groups, gender inequality, resilience to disasters, responses to environmental challenges and the imperative of sustainable human development. Each of these issues is tightly connected with each other into an evolving policy architecture to address emerging challenges in India.

In the medium- to long-term, which includes the post 2015 transition, there exist several transformative opportunities along with considerable risks to sustaining high levels of human development.

A key concern for national stakeholders is likely to be about a relatively high average level of development but with major outstanding gaps in progress. Evidence suggests that when a nation's GDP accelerates, development plateaus are possible due to a range of possibilities. These include economic and social inequity and exclusion, poor policy outcomes, public services that do not build knowledge and skills to boost productivity and value addition, and institutional under-performance in key sectors.

Over the past country programme cycle, UNDP has supported the Government of India on such emerging and complex policy debates, with new research, multistakeholder consultations and reviews, international good practice, policy analysis and technical support.

UNDP's independent evaluations have consistently found that national partners have commended UNDP's support on emerging policy issues by providing flexible support in important areas such as contribution to the design and planning for the 12th Plan, international consultation on cash transfers, policy debates on inclusive growth, technology transfer and financing for Climate Change mitigation and adaptation, policy analysis on gender and macroeconomics and so on.

UNDP's long standing, trusted partnerships with a wide range of stakeholders across the nine UNDAF states places it at a comparative advantage to bring together both evidence and opinions to bear upon policy design, planning and implementation challenges.

Scope:

This project will provide fast track support and address cross cutting themes seminal to the Country Programme's support to the 12th plan as they emerge from policy debates with government partners and among national stakeholders.

The results from this five year project will play a catalytic role in improving the understanding of policy bottlenecks, areas for improvement and innovations which may be needed for achieving inclusive and sustainable human development.

As the CPAP (2013-17) states, UNDP will continue to elaborate evidence-based policy options aimed at accelerating inclusive growth, poverty eradication and human development. UNDP will provide targeted technical assistance in strategic policy areas and customized knowledge services and products based on international and national good practices, UNDP will continue to promote the participation of stakeholders, particularly those from persistently excluded groups, in policy formulation and review and will help build the capacity of organizations lead by marginalized groups with the aim of promoting their visibility in policy making and helping them to better access their entitlements.
This project will contribute to the first outcome of the UNDAF and CPAP, namely ‘Inclusive and equitable growth policies and poverty reduction strategies of the Government are strengthened to ensure that most vulnerable and marginalized people in rural and urban areas have greater access to productive assets, decent employment, skills development, social protection and sustainable livelihoods’

Among other issues, UNDP will bring to bear its cross cutting analysis and international experience on issues such as the shifting global balance of power, future resource scarcity, inequitable access to resources and wealth in the context of growing income inequalities, demographic changes, urbanization and migration, linkages between insufficient voice and persistent conflict, technology and its multiple effects, changing dynamics of availability and sources of energy, and so on.

Key Partners who will be involved in various aspects of the project include Planning Commission, Department of Economic Affairs (MoF), MoHUPA, MoRD, MOEF, NACO and Innovation Council apart from State government departments and a range of CSOs, academic institutions and policy think tanks across the nine UNDAF states.

The following types of support will be provided through this project:

- convening comprehensive stakeholder dialogues on key policy issues
- undertaking policy research, analysis and advocacy on emerging challenges to inclusive growth and poverty eradication to provide targeted technical assistance in strategic areas
- supporting CSOs and others to design and implement innovative demonstration pilots for social mobilization of marginalized groups

III. MANAGEMENT ARRANGEMENTS

Project Modality

Implementing Partner (IP): The project will be directly implemented by UNDP in close cooperation with government and non-government partners. UNDP will be responsible for the effective use of resources and the achievement of the project outcomes and outputs as set forth in the document. UNDP will designate a nodal officer, who will be focal point for all financial management, reporting, procurement and recruitment services. UNDP recruitment and procurement rules will apply.

UNDP will prepare a budgeted Annual Work Plan on an annual basis, as per UNDP rules and regulations.

Project Steering Committee: Oversight of project level activities will be provided by the Project Steering Committee (PSC) that will be chaired by the UNDP Resident Representative. The PSC will comprise designated representatives from different sections in UNDP and a representative from DEA. Other stake holders such as relevant govt ministries or civil society partners and experts, may be invited when needed. The PSC will be responsible for approving the budgeted AWPs and providing overall guidance and oversight. PSC will be convened at least once a year, but efforts will be made to organise quarterly/half yearly meetings to ensure regular follow up.

In addition, the PSC will be responsible for regular project reviews to ensure that the agreed deliverables are produced satisfactorily according to plans and timelines; assess and decide to proceed on project changes through appropriate revisions and arbitrate on any conflicts within the project or negotiate a solution to emerging problems.

In order to ensure UNDP’s ultimate accountability, PSC decisions will be made in accordance with standards that shall ensure management for development results, best value money, fairness, integrity, transparency and effective international competition. In case a consensus cannot be reached within the PSC, final decision shall rest with the UNDP. In addition, the PSC plays a critical role in UNDP commissioned project evaluations by quality assuring the evaluation process and products, and using
evaluations for performance improvement, accountability and learning. UNDP will be responsible for achieving outcome level results. The PSC will appraise the project annual review report, make recommendations for the next annual work plan, and inform the Outcome Board about the results of the review.

The project results will be reviewed at the Country Programme Management Board (CPMB) comprising DEA and UNDP. The oversight will consist, at a minimum, of a six monthly review (at the end of the second quarter) and an annual strategic review (the year's last quarter) between DEA and UNDP, together with Implementing Partners. The recommendations from the annual review will be used to update and adjust the annual workplan and budgets for the coming year, if required.

UNDP will enter into agreement(s) with other organizations or entities for providing goods and services to the project, carry out project activities and produce project outputs.

UNDP will hire from project funds a Project Manager. S/he will coordinate the Project activities including the preparation of Annual and Quarterly Work Plans, Budget, Financial Reports, etc. and will interface on project management issues. The Project manager is responsible for:

Managing the overall conduct of the project;
Implementing activities by mobilizing goods and services;
Checking on progress and watch for plan deviations;
Regular progress reporting to the PSC;
Ensuring that changes are controlled and problems addressed;
Monitoring progress and risks;
Reporting on progress including measures to address challenges and opportunities.

Coordinate the Project activities including the preparation of Annual and Quarterly Work Plans, Budget, Financial Reports, etc;
Capture lessons learnt during project implementation
Prepare the annual review report, and submit the report to the PSC.

Project Assurance will be the responsibility of UNDP. The Assurance role will support the PSC by carrying out objective and independent project oversight and monitoring functions. During the implementation of the project, this role ensures (through periodic monitoring, assessment and evaluations) that appropriate project management milestones are managed and completed. The assurance will:

Ensure that funds are made available to the project;
Ensure the project is making progress towards intended outputs;
Perform regular monitoring activities, such as periodic monitoring visits and spot checks;
Ensure that resources entrusted to UNDP are utilized appropriately;
Ensure that critical project information is monitored and updated;
Ensure that financial reports are submitted to UNDP on time, and that combined delivery reports are prepared and submitted to the PSC;
Ensure that risks are properly identified, managed, and monitored on regular basis.

An independent external review may be conducted through resource persons/groups to feed into this process. The UNDP official responsible for the Project Assurance will meet on a quarterly basis to assess progress of the decisions taken in the PSC.

Project Assistant role provides project administration, management and technical support to the Project Manager as required by the needs of the individual project or Project Manager with the following responsibilities:

Technical Expertise
Set up and maintain project files;
Collect project related information data;
Assist the project manager in updating project plans;
Administer PSC meetings and reviews;
Administer project revision control;
Establish document control procedures;
Compile copy and distribute all project reports;
Assist in the financial management tasks under the responsibility of the project coordinator and manager;
Provide support in the use of Atlas for monitoring and reporting;
Review technical reports;
Monitor technical activities carried out by responsible parties.

Agreement on the intellectual property rights and use of logo on the project’s deliverables
In order to accord proper acknowledgement to partners, UNDP and partner logos should appear on all relevant project publications as applicable and adhere to the branding guidelines of the agencies.

Funds Flow Arrangements and Financial Management:
The project follows DIM (Direct Implementation) modality and UNDP takes on the role of implementing partner.

In this case, UNDP has the technical and administrative capacity to assume the responsibility for mobilizing and applying effectively the required inputs in order to reach the expected outputs. UNDP assumes overall management responsibility and accountability for project implementation. Accordingly UNDP would follow all policies and procedures established for its own operations and will be responsible for all financial management, reporting, procurement and recruitment services.

UNDP may identify a Responsible Party to carry out activities within a DIM project. A Responsible Party is defined as an entity that has been selected to act on behalf of the UNDP on the basis of a written agreement or contract to purchase goods or provide services using the project budget. All Responsible Parties are directly accountable to UNDP in accordance with the terms of their agreement or contract with UNDP.
The Responsible Party may follow its own procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of the responsible party, does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition, that of UNDP shall apply.

Audit: The audit will be governed as per UNDP norms.

Project Closure:
Project will be operationally completed when the last UNDP-financed inputs have been provided and the related activities completed. Through the PSC, the implementing partner notifies the UNDP when this has been done. When a project is operationally complete, the parties must agree on the disposal of any equipment and complete the transfer of assets under the project. Projects will be financially completed when the Implementing Partner has reported all financial transactions to UNDP so that the project accounts can be closed. UNDP and the Implementing Partner will certify a final Combined Delivery Report. Projects will be financially completed not more than 12 months after being operationally completed. Between operational and financial closure, the Implementing Partner will be required to identify and settle all financial obligations and prepare a final expenditure report. No adjustments can be made to a financially completed project.

IV. MONITORING FRAMEWORK AND EVALUATION

In accordance with the programming policies and procedures outlined in the UNDP User Guide, the project will be monitored through the following:

A. MONTHLY PROGRESS REPORT: The Implementing Partner, in consultation with the project teams, will provide brief monthly updates on progress against planned activities and budgets. These monthly reports will be provided in the format provided at Annex 1. These monthly reports will be consolidated, as required, by UNDP’s quality assurance team for progress review meetings.

B. ONE TIME RISK LOG: Based on the initial risk analysis, a risk log shall be activated in Atlas and regularly updated by reviewing the external environment that may affect the project implementation. This will be completed by UNDP project assurance team in consultation with the Implementing partner. Use the standard Risk Log template.

C. In case a project EVALUATION is required, please indicate the justification and proposed timing for the evaluation. A project evaluation is required only when mandated by partnership protocols such as GEF. However, a project evaluation may be required due to the complexity or innovative aspects of the project.

D. ANNUAL REVIEW REPORT: An Annual Review Report shall be prepared by the Project Manager and shared with the Project Board and the Outcome Board. The reporting format at Annex 2 will be used to provide brief description of results achieved in the year against pre-defined annual targets.

E. ANNUAL PROJECT REVIEW. Based on the above report, an annual project review shall be conducted during the fourth quarter of the year or soon after, to assess the performance of the project and appraise the Annual Work Plan (AWP) for the following year. In the last year, this review will be a final assessment. This review is driven by the Project Board and may involve other stakeholders as required. It shall focus on the extent to which progress is being made towards outputs, and that these remain aligned to appropriate outcomes.
V. LEGAL CONTEXT

"This document together with the CPAP signed by the Government and UNDP which is incorporated by reference, constitute together the instrument envisaged and defined in the Supplemental Provisions to the Project attached hereto and forming an integral part hereof, as "the Project Document"

UNDP as the Implementing Partner shall comply with the policies, procedures and practices of the United Nations safety and security management system.

UNDP agrees to undertake all reasonable efforts to ensure that none of the [project funds][1] [UNDP funds received pursuant to the Project Document][2] are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via http://www.un.org/sc/committees/1267/ag_sanctions_list.shtml. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document."

[1] To be used where UNDP is the Implementing Partner
[2] To be used where the UN, a UN fund/programme or a specialized agency is the implementing Partner.
Request for additional core funds in GOALS - based on decision at the PSC and request/approval of NPD

From: Shashi Sudhir
Sent: Tuesday, December 02, 2014 9:42 AM
To: Hari Mohan
Subject: FW: Request for additional core funds in GOALS - based on decision at the PSC and request/approval of NPD

The figure became slightly higher after adding direct project costs. The final figure was $374,029.

Regards,
Shashi

Shashi Sudhir
Programme Analyst
Poverty Unit
United Nations Development Programme
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Fax: +91-11-24627612
www.in.undp.org

From: Jaco Cilliers
Sent: Thursday, November 13, 2014 4:40 AM
To: Shashi Sudhir
Cc: Ratnesh; Payal Suri; Momin Jaan; Irene Dabare
Subject: Re: Request for additional core funds in GOALS - based on decision at the PSC and request/approval of NPD

Dear Suchi,

Thanks for the update. I would propose we move ahead with these areas, but also discuss the viability if all the funds can be spend by the middle of December when we meet with the Ministry next week. We also now have a large request for possible emergency funding for HIV drugs from the Ministry of health. If both can go through that will still be fine, since we will just have to take an advance from our TRAC in 2015.

Please coordinate with Irene, Payal and Momin as needed.

Thanks and best,

Jaco

Sent from my iPhone

On 12-Nov-2014, at 5:45, "Shashi Sudhir" <shashi.sudhir@undp.org> wrote:

Dear Jaco,

we have received the following e-mail from Mr. S. M. Vijayanand indicating the additional budget required for 2014. Thereafter, I have followed up and ensured the following:

1. Letter of agreement between MORD and COSTFORD for the internship proposal of NREGS. MORD will be writing to us in the coming week for release of Rs. 3
request for additional core funds in GOALS - based on decision at the PSC and request approval of 10 lakhs which is the envisaged spend for this year in that proposal.

2. Identification of National Institute of Rural Development as the collaborating agency for the entire research proposal of NREGS. Letter of agreement has been approved by NPD and awaiting signature from JS who will be in office on Friday. Thereafter, we will get the first request for release of funds to NIRD in the coming week. This component will spend Rs. 26,16,800 ($42,584) this year.

3. Decision by NPD to take the direct payment mode for the creatives proposal from Aajeevika Skills. Letter from MORD to UNDP requesting for direct payment to the Directorate of Advertising and Visual Publicity (DAVP) has been vetted by Payal and I. It is under signature with NPD and will reach us tomorrow. Thereafter, the request for the first release will reach us next week. This proposal will spend its entire budget this year of Rs. 18,240,750 ($296,839).

4. The expenditure and commitments for core funds under the project leave us with a balance of $35,626. That falls short of the commitment for service contract and fixed term salaries by $12,509.

The funds required to honour all of the above definite spends are $362,932.

As agreed after the PSC, would be grateful if the above amount is transferred from the policy project to GOALS to enable us meet the above requests for releases which will start coming in early next week. Once we get the go ahead, we will undertake a budget revision to receive the funds.

Regards,
Shashi

From: asrd-dord@nic.in [mailto:asrd-dord@nic.in]
Sent: Friday, November 07, 2014 1:38 AM
To: Shashi Sudhir
Subject: RE: Budgets from Aajeevika Skills and NREGS for 2014 and 2015

Dear Ms. Shashi Sudhir,

Thanks for your email dated 3rd Nov. on allocation of resources for GOALS during 2014. The break up is OK. As regards 2015, please defend the entire proposal which can later be pruned and prioritized according to the budget agreed upon.

With regards,
(S.M. Vijayanand)

On 11/03/14 05:01 PM, Shashi Sudhir <shashi.sudhir@undp.org> wrote:
Dear Mr. Vijayanand,

As discussed over telephone, I give below the requests from Aajeevika Skills and NREGS for UNDP funding during 2014 and the indicative decisions based on our discussions. You may want to consider this and give your approval:

Requests 2014 and 2015

Aajeevika Skills
<table>
<thead>
<tr>
<th>S.No</th>
<th>Proposed Project</th>
<th>Estimated Cost</th>
<th>Duration of Project</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Study of possibilities of skill courses for overseas job market</td>
<td>2,000,000</td>
<td>5 months</td>
</tr>
<tr>
<td></td>
<td>to be considered during finalisation of 2015 activities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Software Agency for SGSY MIS development, hosting, maintenance &amp; development of Integration Framework</td>
<td>4,000,000</td>
<td>12-18 months</td>
</tr>
<tr>
<td>3</td>
<td>Hiring of HR agency for HR planning &amp; strategy for ASD</td>
<td>5,000,000</td>
<td>6 months</td>
</tr>
<tr>
<td>4</td>
<td>Behavioral and Impact study of beneficiaries</td>
<td>3,000,000</td>
<td>4 months</td>
</tr>
<tr>
<td>5</td>
<td>Recognition of Prior Learning (RPL)</td>
<td>8,000,000</td>
<td>8 months</td>
</tr>
<tr>
<td>6</td>
<td>Set up regional Centers of Excellence (CoE) for developing best practices and high standards in the trade</td>
<td>10,000,000</td>
<td>N/A</td>
</tr>
<tr>
<td>7</td>
<td>Develop Employability Skills Modules for 3 levels for integration with functional skill modules</td>
<td>8,000,000</td>
<td>4-6 months</td>
</tr>
</tbody>
</table>
8 Tools and Capacity Building for Skill Gap Analysis
   4,000,000
   4-6 months

9 Contracting of M/S Grey Worldwide for developing creatives
   18,000,000
   1 month
   to be taken up in 2014

10 Setting up of Mobile based Monitoring for Aajeevika Skill programme
   4,500,000
   15-Feb
   to be considered during finalisation of 2015 activities

11 Study on Green Jobs and Skills for Rural Youth
   7,700,000
   6 months

12 Additional Positions:

Depending on savings from DSS funds

a) 1 Executive Position (Training & Curriculum Certification)

b) 2 Executive Positions for MIS

c) 1 Executive Position for PFD *

d) 1 Executive Position for HR & Administration support *
Request for additional core funds in GOALS - based on decision at the PSC and request approval:

e) 2 Executive Positions for NE *

f) 1 position for PFMS**

g) 1 position HR/CAp. Bldg

h) 1 position for Communication

Total
74,200,000

MGNREGS
2014
2015

1 Internship on Appropriate Technologies for Architects and Engineers for a period of 3 months in the Centre of Science & Technologies for Rural Development (COSTFORD)
600,000
to be started in 2014 with Rs. 3 lakhs for expenditure in 2014; balance in 2015.

2 Building a cadre of barefoot engineers
1,500,000
1,870,000

To be started in 2014 as indicated.
Request for additional core funds in GOALS - based on decision at the PSC and request approval of

3 Facilitate labour group formation in model blocks in three states (can be put under Focus District Component.)

60,000,000

Can be limited to focus districts and considered under that component for 2015.

4 Study 1- A study of productivity of works completed during the years 2010-2014 and their sustainability for the community- Analysing through Triangulation

13,30,370

to be started in 2014 with Rs. 3,05,370 for expenditure in 2014; balance in 2015.

5 Study 2- Tracing the journey of NREGA and Non-NREGA Households- A 7 state prospective longitudinal (2 year) Study with focus on income, wages, economic and health shocks, health and nutrition, decision making in the household, migration and education and employment of young in the household

17,43,254

to be considered in 2015.

6 Study 3- Impact of MNREGA on distress migration in the vulnerable communities- A cohort Mid-Term Repeated measures study in 4 states

14,11,050

to be considered in 2015.

7 Study 4- Process Documentation of the IPPE +

12,75,900

to be taken up in 2014.

8 Study 5- Assessment of training and capacity building in IPPE

9 Study 6- Nutrition and education status of children between 4-7 years from women headed households under MGNREGA and women headed households not under MGNREGA: A comparative study

17,43,254

to be considered in 2015.

10 Study 7- Short and Long term Impact of the Planning
Request for additional core funds in GOALS - based on decision at the PSC and request approval of the Process (IPPE) on selected variables - Type of work and plans, participation of SC/ST/Women, changes in the complaints data and capacity of the PRI.

10,03,514

to be started in 2014 with Rs.
8,25,514 for expenditure in 2014;
balance in 2015.

11 Other Expenses like research software, travel for external resource persons/consultations if any, secretarial and analytical assistance and contingency 20,00,000
to be rationalised. Can come out NIRD budget.

12 New Research Studies on Social Audit, CFT and Labour Groups and Barefoot engineers for the next year 26,09,784
to be considered in 2015.

Total
2,100,000
61,870,000

With your go-ahead, we will move the request for transfer of the requisite funds into the GOALS project and do the necessary changes to the 2014 AWP.

I also enclose the excel file containing the above, in case of any changes required.

Regards,
Shashi

Shashi Sudhir
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www.in.undp.org

From: Shashi Sudhir
Sent: Sunday, October 19, 2014 10:03 PM
To: asrd-dord@nic.in
Subject: Budgets from NRLM, Aajeevika Skills and NREGS

Dear Sir,

I have received all the activities and budgets suggested by the three components
request for additional core funds in GOALS - based on decision at the PSC and requestapproval of
(apart from what Vijaya Shrivastava was suggesting for IAY).

The budgets they are suggesting is humongous and not feasible to be spent in one
year. *e.g.*, the total of NRLM (including focus district
component) adds up to Rs.15.9 crores which is equivalent to US$ 2.65 million. This
is purely programme component (I have removed the HR
costs from the budget that is shared).

Similarly, Aajeevika Skills is suggesting a total of Rs. 7.4 crores (US$1.23
million). - apart from a slew of new HR positions that has been
suggested and not budgeted.

MGNREGS budgets are ok for the internship, research and barefoot engineers ideas.
But the labour group idea is adding up to 60 crores
(USS10 million). (However, I have spoken to Subrahmanyam in this regard and he has
said that we can speak about this on Monday).

Sir, we need rationalisation here. I had indicated to Lise that we would require
US$ 2 million from UNDP funds (which includes a bit of HR
costs also) and 1.5 from DSS funds. I don’t think it is feasible to spend more than
that in a year. I am thinking of sticking to those figures for
now.

Need your intervention here, Sir. Can we speak tomorrow on this, Sir?

Regards,
Shashi

Shashi Sudhir
Programme Analyst
Poverty Unit
United Nations Development Programme
55, Lodhi Estate, New Delhi-03, India
Tel : +91-11-46532307(Direct)
Fax : +91-11-24627612
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S.M. Vijayanand
Additional Secretary
Department of Rural Development
Government of India
Krishi Bhavan
New Delhi - 110 001
Tele. No. 011-23389432
From: Payal Suri  
Sent: Friday, October 31, 2014 10:28 AM  
To: Archana Bhardwaj; deepak gera; Ratnesh; Hari Mohan  
Cc: Vinita Mansharamani; S K Singhal; Jyoti CHOUDHARY  
Subject: RE: 2014 DSC Related Travel Costs as on 29 Oct.xlsx

Dear Archana/Hari/Deepak,

The travel will be charged to DSC Project and NOT to Policy.

Actions as indicated by Archana in her email below:
If the travel is related to WB/Haryana project ideally it should be charged to respective project. In that case they do not need COA of Policy Project.

Following needs to be done:
* Deepak needs to simply do the budget revision and add core funds to the project.  
* Hari needs to reduce the budget of Policy project by this amount.

Please proceed accordingly.

Regard, Payal

Payal Suri  
Head -Resource Management Unit  
UNDP India  
55, Lodi Estate New Delhi, India  
91-11-46532310 Direct  
91-11-46532333 Board  
91-11-24627612 Fax  
www.in.undp.org  
UNDP India Facebook

From: Archana Bhardwaj  
Sent: 31 October 2014 10:17  
To: deepak gera; Payal Suri; Ratnesh; Hari Mohan  
Cc: Payal Suri; Vinita Mansharamani; S K Singhal; Jyoti CHOUDHARY  
Subject: RE: 2014 DSC Related Travel Costs as on 29 Oct.xlsx

Dear Payal,

USD 23,000 is being added to DSC project or the expenditure related to travel is being reversed to Policy Project from XB.

If the travel is related to WB/Haryana project ideally it should be charged to respective project. In that case they do not need COA of Policy Project.

Following needs to be done:
* Deepak needs to simply do the budget revision and add core funds to the project.  
* Hari needs to reduce the budget of Policy project by this amount.

In both the above case the AWP needs to be revised and get it signed.

thanks

From: deepak gera  
Sent: 31 October 2014 10:10
RE 2014 DSC Related Travel Costs as on 29 Oct

To: Payal Suri; Ratnesh; Hari Mohan
Cc: Payal Suri; Vinita Mansharamani; S K Singhal; Jyoti CHAUDHARY; Archana Bhardwaj
Subject: FW: 2014 DSC Related Travel Costs as on 29 Oct.xlsx

Dear Payal,

Thanks for your message below.

Dear Ratnesh/Hari,
Please provide me with the relevant COAs of the policy project so the necessary action may be initiated at my end. Best,

From: Payal Suri
Sent: 31 October 2014 09:34
To: Ratnesh; Archana Bhardwaj
Cc: Hari Mohan; Momin Jaan; Deepak Gera; Vinita Mansharamani; S K Singhal; Irene Dabare
Subject: FW: 2014 DSC Related Travel Costs as on 29 Oct.xlsx

Dear Ratnesh and Hari,
FYI please.

Dear Archana and Deepak,
USD 23,000 TRAC from Policy project has been sanctioned to the DSC project (Haryana/West Bengal). For n/a please.

Dear Vinita,
Please ensure that all travel cost (Jan-Sep-Dec) related to the DSC project is transferred from Office Budget to the project. Kindly confirm when this is done.

Thanks and regards, Payal

From: Jaco Cilliers
Sent: 30 October 2014 22:45
To: Payal Suri
Cc: Irene Dabare
Subject: Re: 2014 DSC Related Travel Costs as on 29 Oct.xlsx

Dear Payal – thanks, this is a good option – please proceed. Best, Jaco

Sent from my iPhone

On 30-Oct-2014, at 15:32, "Payal Suri" <payal.suri@undp.org> wrote:

Dear Jaco,

We have incurred an expenditure of approximately USD 21,000 (Jan-Sep) on account of travel in the DSC project (Haryana/West Bengal). This travel cost is currently charged to the office budget, since under the old UNOPS agreement, the Management Fees of 3% was supposed to cover this cost.

You may remember our brief discussion where we considered the possibility of allocating some TRAC resources to the DSC project to cater for such expenses. Can I request for an allocation of USD 23,000 from the unspent TRAC to this project, so that all project related travel can be charged here and in the process we reduce the burden on our XB.
RE 2014 DSC Related Travel Costs as on 29 Oct

For your advice please.

Thanks and regards, Payal

<image003.jpg>
Payal Suri
Head - Resource Management Unit
UNDP India
55, Lodi Estate New Delhi, India
91-11-46532310 Direct
91-11-46532333 Board
91-11-24627612 Fax
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UNDP India Facebook

From: Vinita Mansharamani
Sent: 29 October 2014 17:32
To: Payal Suri
Cc: S K Singhal
Subject: 2014 DSC Related Travel Costs as on 29 Oct.xlsx
Importance: High

Dear Payal

For information please.

Thanks
Vinita
<2014 DSC Related Travel Costs as on 29 Oct.xlsx>
<table>
<thead>
<tr>
<th>Policy and Advocacy Budget 2014</th>
<th>Planned Budget</th>
<th>DPC 5%</th>
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</thead>
<tbody>
<tr>
<td><strong>ATLAS Activity</strong></td>
<td><strong>Total Budget</strong></td>
<td><strong>$117,413</strong></td>
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<tr>
<td><strong>Consultation</strong></td>
<td><strong>$871,920</strong></td>
<td><strong>$247,619</strong></td>
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<tr>
<td><strong>Innovation</strong></td>
<td><strong>$1,174,338</strong></td>
<td><strong>$249,448</strong></td>
</tr>
<tr>
<td><strong>Research</strong></td>
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<td><strong>$249,448</strong></td>
</tr>
<tr>
<td><strong>Project Management</strong></td>
<td><strong>$16,270</strong></td>
<td><strong>$249,448</strong></td>
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<tr>
<td><strong>Development Effectiveness</strong></td>
<td><strong>$182,265</strong></td>
<td><strong>$249,448</strong></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$3,327,971</strong></td>
<td><strong>$261,920</strong></td>
</tr>
</tbody>
</table>