**United Nations Development Programme INDIA**

**2015 Annual Work Plan**

**Project Title:** Sustainable Urban Transport Program

**Implementing Partner:** Ministry of Urban Development

**UNDP Strategic Plan Outcome:** Inclusive & sustainable growth & development

**UNDAF / CPAP Outcome:** Government, industry and other relevant stakeholders actively promote environmental sustainability and enhanced resilience of communities in the face of challenges of climate change, disaster risk and natural resource depletion.

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**PROJECT DESCRIPTION (NOT MORE THAN 1/2 PAGE)**

**A. State the specific development challenge or gap that this AWP is addressing.**

The objective of this project is to reduce the growth trajectory of GHG emissions from the transport sector in India through the promotion of environmentally sustainable urban transport, strengthening government capacity to plan, finance, implement, operate and manage climate friendly and sustainable urban transport interventions at national, state and city levels. This AWP focuses on a) creation of a national resource center for urban transport which would facilitate knowledge and information exchange b) enhancing the capacity of policymakers, planners other professionals involved in urban transport to plan, implement, operate and manage sustainable urban transport systems c) developing Sustainable Urban Transport Manuals and Toolkits.

**B. Select one or more of the below strategies for addressing the above mentioned challenge/gap and describe in the context of this AWP:**

- Changes in attitudes and access to decision making through awareness raising, brokering, convening
- Changes in policies, plans, budgets and legislation through support to national assessment, planning, budgeting, policy making
- Changes in the lives of individuals and communities through implementation for inclusive development

**C. List the possible improvements in the capacities of institutions, individuals and systems that will occur as a result of this AWP.**

- This AWP forms part of the multi-year project implementation plan towards developing an innovative, transforming the Urban Transport sector by planning and coordinating urban transport policy.
- Enhancing capacity in many state and municipal institutions to address urban transport issues in a comprehensive and collaborative fashion;
- Developing knowledge management center of sustainable urban transport and providing information for further research by practitioners in urban transport planning, operations and management.

**D. List the gender issues in this AWP and specific ways in which they will be addressed.**

Not Applicable

**E. List the South-South cooperation opportunities in this AWP and specific ways in which they will be addressed.**

Not Applicable

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**Atlas Project ID:** 00048794

**Atlas Output ID:** 00059078

**Local PAC meeting date:** Nov 7, 2008

**Start date:** 1st November 2009

**End Date:** 31st December 2015

**Implementation modality:** NIM

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**2015 AWP budget:** $1,575,570

**GEF:** $1,575,570

**Regular:** NIL
## Project Expenditure

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<tbody>
<tr>
<td>4,050,000</td>
<td>142,020</td>
<td>593,197</td>
<td>456,810</td>
<td>732,461</td>
<td>550,942</td>
<td>1,575,570</td>
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</table>

Agreed by (Implementing Partner):

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Agreed by UNDP:

Jaco Cilliers  
Country Director
<table>
<thead>
<tr>
<th>Output 2</th>
<th>Organization Training of</th>
<th>July 2015</th>
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<tbody>
<tr>
<td></td>
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<tr>
<td>3.780</td>
<td>GEF</td>
<td>INT</td>
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<td>3.822</td>
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<td>INT</td>
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<td>11.2795</td>
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<td>INT</td>
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<tr>
<td>23.4000</td>
<td>GEF</td>
<td>UNDP</td>
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</table>

**Output 1**

<table>
<thead>
<tr>
<th>Amount (USD)</th>
<th>Planned Budget Description</th>
<th>Funding Source</th>
<th>Responsible Party</th>
<th>COMPLETION MONTH OF</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Source</td>
<td></td>
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</tbody>
</table>

**Institutional Capacity Development:**
- **Support** the perspective of the government to transport investments in Urban Transport (IUT) New Delhi. New Delhi Institute of Urban Development (NDIU), New Delhi.
- **Introducing new sustainable transport policies** through strategic planning the mandate of the National Urban Transport Policy. New Delhi Institute of Urban Development, New Delhi. Portal with an interactive web.
- **Commission** the Research to assist Mould in understanding the impact of transport policies. New Delhi Institute of Urban Development, New Delhi. Portal with an interactive web.
<table>
<thead>
<tr>
<th>Activity</th>
<th>Start</th>
<th>End</th>
<th>Output 1</th>
<th>Output 2</th>
<th>Output 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>37,604</td>
<td>PMU</td>
<td>December 2015</td>
<td>Promotion and dissemination workshops</td>
<td>Information to expand communication activity of SITP, Newsletter, Website, Public</td>
<td>Province Awareness promotion</td>
</tr>
<tr>
<td>41,853</td>
<td>GEF</td>
<td>October 2015</td>
<td>Look at Logistics, Material &amp; Management Committee</td>
<td>Development of Logistics, Material &amp; Management Committee</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
</tr>
<tr>
<td>41,854</td>
<td>GEF</td>
<td>October 2015</td>
<td>Review existing manuals</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
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<tr>
<td>8,566</td>
<td>GEF</td>
<td>December 2015</td>
<td>Conduct training of 1,000 professional at provincial level</td>
<td>Help of Master trainers at the sub-national level</td>
<td>1,000 professionals at provincial level</td>
</tr>
<tr>
<td>55,131</td>
<td>GEF</td>
<td>December 2015</td>
<td>Conduct training with the Institute of Training of Consultants</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
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<tr>
<td>20,761</td>
<td>GEF</td>
<td>December 2015</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
<td>Various urban sustainable transport, manuals and tools developed by agencies</td>
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</tbody>
</table>
The Implementing Partner may request UNDP to provide support services for project implementation. These services may include procurement, recruitment, purchase of goods and services, and organization of training activities and workshops. UNDP procurement and procurement rules and regulations shall apply.

Flow and Financial Management:

The Management Arrangements will remain same as specified in approved project document except the following changes: The Outcome

<table>
<thead>
<tr>
<th>AWP</th>
<th>Grand Total in USD</th>
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<tbody>
<tr>
<td>1.575,720</td>
<td>46,000</td>
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<tr>
<td>7,410</td>
<td>98,441.42</td>
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<tr>
<td>TOTAL IN USD</td>
<td>67,415.8</td>
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</tbody>
</table>

SWIFT: Impacts of the GEF
A MONTHLY PROGRESS REPORT: The implementing partners in consultation with the project teams will provide the project teams with the project teams will provide their monthly updates on progress.

In accordance with the programming policies and procedures outlined in the UNDP User Guide, the project will be monitored through the following:

III. MONITORING FRAMEWORK AND EVALUATION

Project Closeout: The project will be closed as per UNDP norms and assets (if any) will be disposed of or transferred to IP in consultation with the UNDP during the life cycle of the project's end.

Consultation with the UNDP on the actions taken to improve the acceptable recommendations to the UNDP on a quarterly basis.

The acceptance of recommendations at the UNDP level will be reported to the UNDP by the auditors. The report will be reviewed by the UNDP team and the implementing partner. The acceptance of the recommendations at the UNDP level will be reported to the UNDP team and the implementing partner. The acceptance of the recommendations at the UNDP level will be reported to the UNDP team and the implementing partner.

Project Closeout: The project will be closed as per UNDP norms and assets (if any) will be disposed of or transferred to IP in consultation with the UNDP during the life cycle of the project's end.

Audit: In support of financial good practice and to facilitate scheduling and special audits, each implementing partner receiving funds from UNDP shall submit a consolidated financial statement to UNDP. The consolidated financial statement shall include all financial transactions related to the project, including expenditures, revenues, and other financial information. The financial statements shall be submitted to UNDP on a quarterly basis.

The project teams will provide their monthly updates on progress.

BUDGETS: The project teams will provide their monthly updates on progress.

Books of account shall be maintained in accordance with the policies and procedures documented by UNDP.

Any interest accrued on the project funds during the project cycle will be included in the project financial statement. Any expenditure on the project funds during the project cycle will be included in the project financial statement. Any interest accrued on the project funds during the project cycle will be included in the project financial statement. Any interest accrued on the project funds during the project cycle will be included in the project financial statement. Any interest accrued on the project funds during the project cycle will be included in the project financial statement. Any interest accrued on the project funds during the project cycle will be included in the project financial statement.
The Implementing Partner agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received pursuant to the Project Document are used to provide support to individuals or entities associated with seizure and theft of any amounts provided by UNDP. The Implementing Partner shall monitor the use of the funds and report any discrepancies to UNDP.

This document together with the GAP signed by the Government and UNDP which is incorporated by reference, constitute together the Instrument. Any Enquiries and Amendments shall be handled according to the provisions of the approved UNDP Project Narrative and Grant Agreement.

LEGAL CONTEXT

E. ANNUAL PROJECT REVIEW

An annual Project Review shall be conducted during the fourth quarter of the year or sooner, if required by the Project Manager and agreed with the Project Board, and the Project Review shall be submitted by the Project Manager and approved by the Project Board. The Project Review shall include a description of the results achieved in the year against the pre-defined annual outcomes.

D. PROJECT EVALUATION

The Project was completed on 4 February 2016. The project was evaluated through a formal evaluation process that included a site visit to the project site in May 2015, and a review of the project's financial and technical reports. The evaluation team consisted of experts in the field of education and development.

C. QUARTERLY FINANCIAL REPORT

The quarterly financial report will make use of the Funding Authorization and Certification of Expenditures. The report will be submitted to UNDP, Project Office, and the Project Team.

B. ONE TIME RISK LOG

Based on the initial risk analysis, a risk log shall be activated in Alarms and monitored by reviewing the external environment. This will be completed by UNDP project assurance team in consultation with the Implementing Partner.
<table>
<thead>
<tr>
<th>Year</th>
<th>Progress towards meeting AWP annual outputs</th>
<th>Expenditure</th>
<th>Total</th>
<th>Allocated</th>
<th>Annual Outputs</th>
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<tbody>
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Annex 2 - Annual Progress Report Format